



# Financial Institutions

## STRENGTHENING FINANCIAL FUTURES

SEK has supported independent community financial institutions for over 60 years. With a track record of excellence and a future-focused mindset, we offer a comprehensive suite of services tailored to the unique needs of the financial sector. Our dedicated team of professionals brings together over 120 combined years of expertise, providing tax, audit, and consulting services designed to enhance operational efficiency and ensure compliance. At SEK, we understand the intricacies of various financial entities, delivering solutions for:

- Credit Unions
- Commercial Banks
- Central Banks
- Insurance Companies
- Investment Banks
- Brokerage Firms
- Mortgage Companies
  
- Savings and Loan Associations
- Finance Companies
- Banking Financial Institutions
- Wealth Management Companies
- Broker-Dealers
- Deposit Insurance Companies
- Trust Companies

## FOCUSED EXPERTISE FOR FINANCIAL GROWTH

Our primary focus is on delivering specialized, agreed-upon procedures and consulting engagements that drive value and ensure compliance:

- **Internal Control Assessments:** Strengthen your controls to safeguard assets and ensure reliable financial reporting.
- **BSA Compliance Audits:** Navigate the complexities of the Bank Secrecy Act with comprehensive audits.
- **ACH Audits and Risk Assessments:** Minimize risk with detailed assessments of your Automated Clearing House transactions.
- **AML Testing and Oversight:** Implement robust anti-money laundering measures with our expert testing and oversight services.
- **M&A Advisory:** Navigate mergers and acquisitions with strategic guidance and due diligence.
- **Tax Planning, Preparation, & Consulting:** Optimize your tax strategy with our comprehensive tax services.
- **Accounting Consulting:** Elevate your accounting practices to support business growth and regulatory compliance.

## STRATEGIC PARTNERSHIP

## TEAM LEADERS

### Luke Martin CPA

Member of the Firm

📞 717.263.3910

✉️ [lcmartin@sek.com](mailto:lcmartin@sek.com)

### Kelly Smith CPA, CGMA, MBA

Member of the Firm

📞 301.733.5020

✉️ [ksmith@sek.com](mailto:ksmith@sek.com)

### Ryan Leppo CPA, MBA

Manager

📞 717.263.3910

✉️ [rleppo@sek.com](mailto:rleppo@sek.com)

At SEK, we go beyond traditional accounting services and offer a strategic partnership. Our membership in the Center for Audit Quality, an affiliation of the American Institute of Certified Public Accountants (AICPA), demonstrates our commitment to the highest standards of service and professional development.

### **ELEVATE YOUR FINANCIAL INSTITUTION**

Whether you're looking to enhance internal controls, navigate regulatory compliance, or strategize for future growth, our advisory services are tailored to meet the challenges and opportunities of the financial sector. Contact SEK to discover how our dedicated team can empower your institution to navigate the complexities of today's financial landscape with confidence and strategic insight.

*Visit [www.sek.com](http://www.sek.com) for more information or to schedule a consultation today!*